

## Background

In its 27th Regular meeting of December 6th, 2007, UN-HABITAT's Committee of Permanent Representatives endorsed the "Strategic Policy on Human Settlements and Crisis" concluding a four year policy development exercise undertaken in consultation with partners and discussed during two successive Governing Councils (20 and 21). The process began with the development of the "Sustainable Relief and Reconstruction Framework" – a conceptual framework articulating two key principles; the first - in times of crisis, the highest possible development gain can be made in the shortest possible time-frame, and the second - a set of strategic interventions in an emergency phase, if integrated in sustainable development goals, can both reduce the period of crisis and build a platform for early recovery and development.

Within the framework of Agency's Medium Term Strategic and Institutional Plan (MTSIP), and the Enhanced Normative and Operating Framework (ENOF), the Disaster Management Programme's overall objectives are:

- Supporting cities to adopt disaster mitigation policies and strategies, reducing the vulnerabilities of human settlements and strengthen their capacities for managing human made and natural disasters at all levels (MTSIP Focus Area 2: Promotion of participatory urban planning, management and governance).

- Supporting countries to adopt pro-poor housing, land and property delivery systems for the urban poor and populations affected by crisis, including climate change threats, and responding to immediate needs in the aftermath of crises through Agency mandated interventions, building sustainable human settlements (MTSIP Focus Area 3: Promotion of pro-poor land and housing).

The above creates for the first time, a normative framework allowing the Agency to measure the effectiveness and sustainability of the it's work and that of it's partners in relation to recovery and reconstruction of human settlements damaged by conflict or natural disasters; as well as its performance in reducing risk and assisting governments in building more resilient cities.



## Progress on institutional matters

### **Strong engagement with the Inter Agency Standing Committee Clusters (Humanitarian Reform)**

Staff numbers and the seniority level were enhanced in the Geneva Office in order to support the development and implementation of the Strategic Policy on Human Settlements and Crisis, and to strengthen UN-HABITAT's engagement with the Inter-Agency Standing Committee (IASC) members and other humanitarian agencies resident in Geneva, primarily through the global IASC Clusters. UN-HABITAT has since contributed to the work of the Emergency Shelter, the Early Recovery, and the Protection Clusters.

This allowed leveraging over 1.5 million US\$ in funding from Canada, DFID, European Commission, IFRC, Norway and Sweden for the production of normative tools supporting IASC members.

### **Progress on disaster-related programme development at country level**

DMP organized direct rapid response interventions in 13 countries (Peru, Mozambique, Malawi, Uganda, Kenya, Liberia, Nepal, Bangladesh, Indonesia, Myanmar, Sri Lanka, Pakistan and Georgia), (see also annexes) resulting in new activities including Emergency/ Recovery Shelter Coordination; Advisory Services to UNCT's, and local and national government counterparts; New Partnerships (notably UNDP-BCPR, IFRC and UNHCR); and a range of shelter and human settlements based projects financed through the UN Consolidated and Flash Appeals.

### **Enhanced strategic external partnerships**

An operational agreement was signed with IFRC calling upon UN-HABITAT to facilitate the transition from the emergency phase of a disaster, coordinated by IFRC, to shelter recovery and reconstruction. Deployments were undertaken to Mozambique, Bangladesh, Myanmar, Pakistan, and Nepal. The partnerships with UNDP-BCPR and UNHCR have also been strengthened, resulting also in country level collaboration (ex. Occupied Palestinian Territories, Georgia, DRC).

### **Better integration of the disaster related work within the Agency**

The MTSIP exercise allowed to better align DMP's work with the overall Agency workplan. DMP consequently contributed to the Strategic Policy Papers of Focus Area 2 (Promotion of participatory urban planning, management and governance) and Focus Area 3 (Promotion of pro-poor land and housing). A similar alignment is taking place with UN-HABITAT's SUD-NET (Sustainable Urban Development Network). The Disaster, Post-Conflict and Safety Section, which hosts DMP, has consequently been moved from the Urban Development Branch to the Shelter Branch to strengthen its institutional anchoring.

Risk mapping work is being integrated in the Cities and Climate Change Initiative (CCCI), focusing first on the common pilot city in Maputo, Mozambique and on the development of a comprehensive risk mapping toolbox.

### **Adaptation of Enhanced Normative and Operational Framework (ENOF) to disaster related work**

DMP has finalized a draft Protocol with the Regional Technical Cooperation Division, and its different Regional Offices. It enhances UN-HABITAT's response capacity in crisis situations, by drawing on capacity in the Regional Offices, leaving DMP with a support role in the initial response, and the consequent programme development phase. DMP has already transferred almost all long term operations to the Regional Offices (ex. Kosovo) in order to focus on its more normative roles. DMP is also member of the UN-HABITAT Country Teams that are being set up under the ENOF. So far Liberia and Lebanon have been activated. DMP also promotes internal dialogue through a series of, 'Talking Disaster' brown bag lunches, linking up field experiences with the normative debate.

### **Priorities for 2009 – 2011**

- Development of a Monitoring Framework against the Strategic Policy on Human Settlements in Crisis and roll out in a first set of countries (ex. with HABITAT Country Team).
- Development of a Capacity Development Programme related to human settlements and crisis, targeting HQ, field-based and Regional Office staff and implementing partners.
- Broadening of the Disaster Risk Reduction work, in relation to the Hyogo Framework for Action, focusing on Local Authorities, and in relation to Climate Change Initiatives.

## ANNEX I: Reporting, Monitoring and Evaluation

REQUIREMENTS	PROGRESS: (Doc, Title, and Subject)	DATE: d/m/y
To the Governing Council and its members through the CPR, in order to monitor progress and provide substantive proposals for mobilising the necessary resources for the agency to continue "...promoting sustainable human settlements development in emergencies and post-disaster situations."	"Report to the CPR on the progress of implementation of the SPHSC" — Annual Report	25/02/09
Within the agency, to provide divisions, branches, sections and programmes involved in assisting settlements at risk and settlements in crises with lessons learned for both normative and capacity building support	<p>"Humanitarian Affairs and the Role of UN-HABITAT" — Internal policy framework distributed Nov 2008 to all staff (and CPR)</p> <p>- HPM training workshop — Session on how to respond to crisis — workshop report</p>	<p>22/10/08</p> <p>11/08</p>
Through UN Country Teams to Resident and/or Humanitarian Coordinator systems in support of UNCT planning and implementation addressing settlements at risk and settlements in crisis	<p>"Joint Assessment and Recovery Plan for Gaza";</p> <p>"Emergency response and Joint UN-WB-EC Assessment mission in Georgia";</p> <p>"Emergency response and the implementation of a Housing Land and Property initiative in Peru"</p> <p>Nepal — HLP Assessment for Comprehensive Peace Agreement</p> <p>Shelter Section in South Africa Region Floods Preparedness and Response Plan</p> <p>Sri Lanka - Shelter Section in Common Humanitarian Action Plan (CHAP)</p> <p>Nepal — Shelter Section in Humanitarian Transitional Appeal</p> <p>Myanmar — Shelter and Settlements Section in "Post-Nargis Response and Preparedness Plan (PONREPP)"</p> <p>Indonesia - Common vision for the UN in Aceh — 2009 to 2011</p> <p>Pakistan — One UN Joint Programme: Disaster Risk Management — leads on Outcome 4</p>	<p>01-03/09</p> <p>09/08</p> <p>31/09/08</p> <p>11/02/08</p> <p>14/02/09</p> <p>06/01/09</p> <p>12/08</p> <p>10/08</p> <p>10/02/08</p>
To IASC cluster working groups both at national and global levels on areas for which UN-HABITAT has been given functional responsibility	<p>"Operational Agreement" signed for joint deployments with IFRC (ES Cluster Lead);</p> <p>"Joint Programme Document" signed with FAO, UNOSAT, ILO, UNHCR, UNFPA, UNEP and UNDP BCPR for joint interventions.</p> <p>Mozambique, Myanmar, Nepal - Shelter Cluster Lead coordination — MoU with IFRC for hand-over</p> <p>Indonesia - HLP issues contributed to the Protection Cluster Contingency Plan</p> <p>Nepal, Malawi, Mozambique - Shelter Cluster Contingency Plan</p> <p>Bangladesh — shelter section for the Early Recovery Needs Assessment; guiding principles</p> <p>Bangladesh — Chair - Cyclone Sidr Shelter Cluster Technical Working Group on Landless — meeting reports</p> <p>Myanmar — Shelter Section in Post-Nargis Joint Needs Assessment (PoNJA)</p> <p>Myanmar — Shelter Section — Early Recovery Framework</p> <p>Pakistan — Pakistan Flood Information Centre; managing the web portal for all the clusters</p>	<p>01/08</p> <p>01/08</p> <p>01-04/08</p> <p>07/08</p> <p>06/08</p> <p>11/07 -</p>

## ANNEX I (continued)

REQUIREMENTS	PROGRESS: (Doc, Title, and Subject)	DATE: d/m/y
Through the Executive Director informing members of ECHA on areas within the mandate of the organisation that address humanitarian issues	ED Briefing Notes and annotated agendas for ECHA Monthly Meetings throughout 2008	01-12/08
	ED Briefing and speaking notes for IASC Principals meetings.	06/08 and, 11/08
Through the publication of tools and documentation that provide guidance or support for assistance to settlements at risk and settlements in crises within the Sustainable Relief and Reconstruction framework	“Humanitarian Affairs and the Role of UN-HABITAT”-UNHSP Policy and Implementation Framework	20/10/2008
	“Shelter Projects 2008” catalogue of the latest international emergency shelter responses;	15/03/2009
	“Shelter Needs Assessment”;	30/06/2009
	“Risk Mapping for Shelter planning”;	30/06/2009
	“Post-Disaster Land Guidelines”;	30/06/2009
“Post-Conflict Land Guidelines”.	31/12/2009	

## ANNEX II

### Normative Outputs 2007 - 2009

#### Internal:

- Guidelines for staff and UN-HABITAT Programme Managers HPM on engaging with the Consolidated (and Flash) Appeals processes in crisis countries;
- Periodical inter-Branch/Division seminars on implementation of the SPHSC;
- Talking Disaster Series of brown-bag presentations by representatives of field operations.

#### External:

- Regular Monthly inputs through the Executive Director to the Executive Committee on Humanitarian Affairs (ECHA);
- Bi-Annual inputs through the Executive Director to the IASC Principals meetings;
- Quarterly Inputs to the IASC Working Group Sessions;
- Human Settlements and crisis workshop in Bangkok and partner event in Word Urban Forum 4 on the “Humanitarian Consequences of Urbanisation” (October/November 2008) with external partners;
- Chairing of IASC Task Force on the “Humanitarian Consequences of Urbanisation”.

## ANNEX III

### Publications 2007 - 2009

1. “Humanitarian Affairs and the Role of UN-HABITAT”-UNHSP Policy and Implementation Framework;
2. “Shelter Projects 2008” catalogue of the latest international emergency shelter responses;
3. “Shelter Needs Assessment” methodology;
4. “Risk Mapping for Shelter planning” Tool;
5. “Post-Disaster Land Guidelines”; Guideline for humanitarian agencies;
6. “Post-Conflict Land Guidelines- Volume 1: “Quick Guide”: for humanitarian actors;
7. “Post-Conflict Land Guidelines- Volume 2: Guidelines for Land experts working on crises situations;
8. “A Guide to Property law in Uganda”: Reference for practitioners;
9. “Housing, Land and Property in Crimea”: Reference for practitioners.

## ANNEX IV: Articulation between SUD-NET and DMP / Strategic Policy on Human Settlements and Crisis

SUD-NET FOCUS AREAS	DMP INTERSECTION POINTS: link to SPHSC
Governance/ decentralisation	<ul style="list-style-type: none"> <li>- Utilise participatory planning and inclusive decision-making methods, ensuring involvement of all actors and in particular women, youth and vulnerable groups, in all planning and implementation stages.</li> <li>- Build capacities of local authorities to operate as active partners in the process from relief and reconstruction to recovery and development.</li> </ul>
Environment/Climate Change	<ul style="list-style-type: none"> <li>- Continue to strengthen, expand and facilitate partnership risk identification, assessment, monitoring and early warning</li> <li>- Collaborate with international, national and local partners on producing tools and products aimed at risk and vulnerability reduction linked to climate change</li> <li>- Continue building and maintaining strategic partnerships in particular with local government and local government networks and civil society, addressing the design and implementation of sustainable disaster risk reduction programmes in support of the Hyogo Framework for Action.</li> </ul>
Urban Economy/LED Livelihoods	<ul style="list-style-type: none"> <li>- Support development of productive economic activities such as markets and income generating facilities to assist the consolidation of peace and security and promote the recovery of livelihoods and local government revenue systems.</li> <li>- Support and facilitate Local Economic Assessments</li> <li>- Support rehabilitation of basic infrastructure and services: transport, water, school and health facilities</li> </ul>
Education/HPU's	<ul style="list-style-type: none"> <li>- Continue to strengthen existing partnerships and initiate new partnerships with academia working on subjects related human settlements at risk and human settlements in crisis</li> <li>- Continue to cooperate with academia on tools and documentations human settlements at risk and human settlements in crisis</li> <li>- Continue to support curricula development on the subject of human settlements at risk and human settlements in crisis</li> </ul>



**ANNEX V: Humanitarian Funding mobilized through CERF, CAP, Flash Appeal 2007 - 2009**

<b>Donor Total:</b>	<b>Emergency title</b>	<b>USD 26,695,524</b>	<b>Description</b>	<b>Country</b>	<b>Year</b>
Central Emergency Response Fund	Nepal 2008	200,000	CERF under-funded grant to be allocated to NRC (NF01)	Nepal	2008
Central Emergency Response Fund	PAKISTAN - Earthquake - October 2008	886,923	CERF rapid response grant to project: Shelter Provision for Baluchistan Earthquake	Pakistan	2008
Central Emergency Response Fund	LAO PDR - Floods - August 2008	100,580	CERF rapid response grant to project: Emergency Water and Sanitation Assistance to Communities Affected by Flooding	Lao People's Democratic Republic	2008
Central Emergency Response Fund	NAMIBIA - Floods - March 2009	155,150	CERF rapid response grant to project: Provision of emergency shelter for displaced persons in Namibia (CERF 09-HAB-001)	Namibia	2009
European Commission Humanitarian Aid Office	Mozambique 2008	512,127	Supporting innovative local mitigation interventions for reducing vulnerability to floods and cyclones (ECHO/DIP/BUD/2008/04001)	Mozambique	2008
Japan	Afghanistan 2008	12,000,000	For community empowerment and development for peace-building, such as assistance to support village-level small-scale communities, centering on infrastructure	Afghanistan	2008
Japan	Somalia 2008	2,000,000	Sustainable Shelter Solutions and Integration of Displaced Communities	Somalia	2008
OCHA (Emergency Response Fund)	Somalia 2008	233,440	Livelihoods	Somalia	2008
Kingdom of Saudi Arabia	occupied Palestine territory 2009	7,600,000	GAZA CRISIS: Humanitarian assistance in Gaza	Palestinian territory, occupied	2009
Sweden	Somalia 2008	1,275,830	Sustainable Shelter Solutions and Integration of Displaced Communities	Somalia	2008
United Kingdom	MYANMAR - Tropical Cyclone Nargis - May 2008	500,000	Support to the coordination of early recovery shelter interventions	Myanmar	2008
United Kingdom	PERU - Earthquake - August 2007	253,550	Support for the rehabilitation of destroyed/damaged houses in the framework of human settlement plans and training for seismically resilient criteria and techniques	Peru	2007
United Kingdom	PAKISTAN - Earthquake - October 2008	265,524	Shelter	Pakistan	2008
United States of America	PAKISTAN - Conflict and Floods - September 2008	250,000	Technical Assistance for Shelter, Camp Management, Returns and Housing (DFD-G-00-08-00341-00)	Pakistan	2008
Unspecified	Uganda 2008	300,000	Funds channelled from UNICEF to implementing partner	Uganda	2008

## ANNEX V: Humanitarian Funding mobilized through CERF, CAP, Flash Appeal 2007 - 2009 (continued)

Donor	Emergency title	USD	Description	Country	Year
Various (details not yet provided)	PAKISTAN - Floods/Cyclone - July 2007	37,400	Mapping for Effective Coordination and Knowledge Management	Pakistan	2007
Various Donors	Sri Lanka 2008	200,000	Early Recovery Shelter for IDPs in Batticaloa, Trincomalee, Mannar	Sri Lanka	2008
Various Donors	Zimbabwe 2008	45,000	Livelihoods Skills Training (see original project sheet - ZIM-2008)	Zimbabwe	2008
Various Donors	Zimbabwe 2008	50,000	Livelihoods support through provision of transitional shelter for the vulnerable groups in Hatcliff and Hopley (see original project sheet - ZIM-2008)	Zimbabwe	2008
Various Donors	MYANMAR - Tropical Cyclone Nargis - May 2008	30,000	Community-driven rapid and safer shelter recovery and sustainable resettlement for vulnerable communities	Myanmar	2008
<b>Total:</b>		<b>26,695,524</b>			

## ANNEX VI

### Activities already planned for 2009

1. Preparation, dissemination and training on mainstreaming the SPHSC (already in development);
2. Further integration and orientation internally with Branches and Divisions, and externally with partners of the Strategic Policy on Human Settlements and Crisis;
3. Rolling out of pilot Settlement Risk Identification Programme to CCCI focus cities (Maputo, Mozambique; Sorsogon, Philippines; Kampala, Uganda; and possibly Esmeralda, Ecuador) and beyond;
4. "Shelter Projects 2009" an annual review of crises response on shelter and monitoring of past experiences (ensuring standards);

